#### **Lecture 17 - Completeness**

Vaishnavi Sundararajan

COL703 - Logic for Computer Science

# Quiz

## **Recap: System** $\vdash_{HK}$ **for FO**

All generalizations of the following, along with MP.

(H1a) 
$$\varphi \supset (\psi \supset \varphi)$$
  
(H1b)  $(\varphi \supset (\psi \supset \chi)) \supset ((\varphi \supset \psi) \supset (\varphi \supset \chi))$   
(H1c)  $(\neg \varphi \supset \neg \psi) \supset ((\neg \varphi \supset \psi) \supset \varphi)$   
(H2a)  $x \equiv x$   
(H2b)  $x \equiv y \supset ((\varphi(x) \supset \varphi(y)) \land (\varphi(y) \supset \varphi(x)))$   
(H3a)  $\forall x. \ [\varphi \supset \psi] \supset (\forall x. \ [\varphi] \supset \forall x. \ [\psi])$   
(H3b)  $\varphi \supset \forall x. \ [\varphi]$  where  $x$  does not appear free in  $\varphi$   
(H3c)  $\forall x. \ [\varphi] \supset \varphi\{t/x\}$  for any term  $t$   
 $\varphi \supset \psi \qquad \varphi$ 

We denote provability in this system with the symbol  $\vdash_{HK}$ .

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## **Recap: Completeness of** $\vdash_{HK}$

- Gödel's Completeness Theorem (1929): If  $\Gamma \models \varphi$ , then  $\Gamma \vdash_{HK} \varphi$
- Equivalent statement: Any consistent set of expressions is satisfiable
- Start out with a consistent set of expressions  $\Gamma$
- Assume  $\mathcal{V} \setminus \text{vars}(\Gamma)$  to be infinite. (Can always do this!)
- $\exists$ -fulfilled  $\Gamma$ : for every expression of the form  $\neg \forall x$ .  $[\alpha] \in \Gamma$ , there exists some term t such that  $\neg \alpha \{t/x\} \in \Gamma$
- **Lindenbaum's Lemma**: Every consistent set can be extended to an  $\exists$ -fulfilled maximally consistent set (MCS).

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#### Recap: Proof of Lindenbaum's Lemma

- Similar structure to the completeness proof for PL
- Fix enumerations, for each expression, put it into the set or not
- $\Gamma_0 := \Gamma$ , and for every  $i \ge 0$ ,

$$\Gamma_{i+1} \coloneqq \begin{cases} \Gamma_i' & \text{if } \Gamma_i' \text{ consistent and } \varphi_i \text{ not of the form } \neg \forall x. \ [\alpha] \\ \Gamma_i' \cup \{\neg \alpha \{y/x\}\} & \text{if } \Gamma_i' \text{ consistent, } \varphi_i = \neg \forall x. \ [\alpha], \text{ and} \\ & y \text{ the first variable not in } \mathsf{fv}(\Gamma_i) \cup \mathsf{vars}(\varphi_i) \\ \Gamma_i & \text{if } \Gamma_i' \text{ not consistent} \end{cases}$$

where 
$$\Gamma_i' = \Gamma_i \cup \{\varphi_i\}$$
.

- where  $\Gamma_i' = \Gamma_i \cup \{\phi_i\}$ .
   Finally,  $\Gamma_{ext} \coloneqq \bigcup_{i>0} \Gamma_i$
- Showed:  $\Gamma_{\text{ext}}$  is a maximally consistent and  $\exists$ -fulfilled extension of  $\Gamma$ .

#### A useful property of ∃-fulfilled MCSs

**Lemma**: Let  $\Gamma_{\text{ext}}$  be any  $\exists$ -fulfilled MCS. Then, for all expressions  $\alpha$  and  $\beta$ 

- 1.  $\neg \alpha \in \Gamma_{\text{ext}}$  iff  $\alpha \notin \Gamma_{\text{ext}}$
- 2.  $\alpha \supset \beta \in \Gamma_{ext}$  iff  $\alpha \notin \Gamma_{ext}$  or  $\beta \in \Gamma_{ext}$
- 3.  $\Gamma_{\text{ext}} \vdash \alpha \text{ iff } \alpha \in \Gamma_{\text{ext}}$ . In particular, all  $\alpha \in \Gamma_{\text{ext}}$  such that  $\vdash_{\text{HK}} \alpha$ .
- 4.  $\forall x$ .  $[\alpha] \in \Gamma_{\text{ext}}$  iff  $\alpha \{t/x\} \in \Gamma_{\text{ext}}$ , for all terms t.

**Proof**: Statements (1)–(3) follow as for PL. Consider (4). If  $\forall x$ .  $[\alpha] \in \Gamma_{\text{ext}}$ , then  $\Gamma_{\text{ext}} \vdash \forall x$ .  $[\alpha]$ , by (3). We also have  $\Gamma_{\text{ext}} \vdash \forall x$ .  $[\alpha] \supset \alpha\{t/x\}$  for any term t, by **(H3c)**. Thus, by MP,  $\Gamma_{\text{ext}} \vdash \alpha\{t/x\}$  for any t, and so  $\alpha\{t/x\} \in \Gamma_{\text{ext}}$  by (3). Now suppose  $\forall x$ .  $[\alpha] \notin \Gamma_{\text{ext}}$ , then  $\neg \forall x$ .  $[\alpha] \in \Gamma_{\text{ext}}$ , by (1). Since  $\Gamma_{\text{ext}}$  is  $\exists$ -fulfilled, we have  $\neg \alpha\{y/x\} \in \Gamma_{\text{ext}}$  for some  $y \in \mathscr{V}$ . Thus,  $\alpha\{y/x\} \notin \Gamma_{\text{ext}}$ , and thus it is not the case that  $\alpha\{t/x\} \in \Gamma$  for all terms t.

#### From an ∃-fulfilled MCS to a model

- What did we want to show? Any consistent set of expressions is satisfiable
- So far: Any consistent set of expressions can be extended to an ∃-fulfilled MCS
- If I can produce a model for this ∃-fulfilled MCS, done!
- Suppose  $\Gamma_{\text{ext}}$  is an  $\exists$ -fulfilled MCS corresponding to a consistent  $\Gamma$ .
- Need to build an interpretation  $\mathcal{F} = ((M, \iota), \sigma)$  such that  $\mathcal{F} \models \Gamma_{\text{ext}}$ .
- We will, in fact, show that for every  $\varphi$ ,  $\mathcal{F} \models \varphi$  iff  $\varphi \in \Gamma_{ext}$ .
- We have a signature  $\Sigma = (\mathscr{C}, \mathscr{F}, \mathscr{P})$ . Need to
  - Define M
  - Fix interpretations via  $\iota$  for every symbol in  $\Sigma$  to get  $\mathcal{M} = (M, \iota)$
  - Fix an assignment σ

#### Some postulates about equality

For any  $t, t_1, ..., t_n, u_1, ..., u_n \in T(\Sigma)$ , we have the following:

- $\vdash_{HK} t \equiv t$
- $\vdash_{\mathit{HK}} (t_1 \equiv t_2) \supset (t_2 \equiv t_1)$
- $\vdash_{HK} (t_1 \equiv t_2 \land t_2 \equiv t_3) \supset (t_1 \equiv t_3)$
- $\vdash_{HK} (\bigwedge_{1 \le i \le n} t_i \equiv u_i) \supset (f(t_1, ..., t_n) \equiv f(u_1, ..., u_n))$  for any n-ary  $f \in \mathcal{F}$
- $\vdash_{HK} (\bigwedge_{1 \leq i \leq n} t_i \equiv u_i) \supset (P(t_1, ..., t_n) \supset P(u_1, ..., u_n))$  for any n-ary  $P \in \mathcal{P}$

**Exercise**: Show that these proofs exist!

#### **Defining a domain** M

- We need some domain M
- Every term symbol needs to be a name for some element of M
- What about terms where  $t \equiv u$  belongs to  $\Gamma_{\text{ext}}$ ?
- They should map to the same element.
- Define a binary relation  $\simeq$  such that  $t \simeq u$  iff  $t \equiv u \in \Gamma_{\text{ext}}$
- Equality axioms guarantee that ≃ is an equivalence relation
- Exercise: Verify this! (What does this require you to show?)
- For every  $t \in T(\Sigma)$ , let [t] denote the equivalence class containing t
- Define  $M := \{[t] \mid t \in T(\Sigma)\}$

#### **Defining** $\iota$ : Symbols in $\mathcal{P}$

• Let  $P \in \mathcal{P}$  be an n-ary relation symbol. Define

$$\iota(P) = P_{\mathcal{M}} \coloneqq \left\{ ([t_1], ..., [t_n]) \mid P(t_1, ..., t_n) \in \Gamma_{\text{ext}} \right\}$$

• Claim: P<sub>M</sub> is well-defined

## **Defining** $\iota$ : Symbols in $\mathscr{P}$

- Let  $P \in \mathcal{P}$  be an n-ary relation symbol. Define
  - $\iota(P) = P_{\mathcal{M}} \coloneqq \left\{ ([t_1], \dots, [t_n]) \mid P(t_1, \dots, t_n) \in \Gamma_{\text{ext}} \right\}$
- **Claim**: P<sub>M</sub> is well-defined
- If  $t_i \simeq u_i$  for  $1 \leqslant i \leqslant n$  and  $P(t_1, ..., t_n) \in \Gamma_{ext}$ , then  $P(u_1, ..., u_n) \in \Gamma_{ext}$ .
- From the final equality postulate, we get

$$\vdash_{\mathsf{HK}} (t_1 \equiv u_1 \land \dots \land t_n \equiv u_n) \supset P(t_1, \dots, t_n) \supset P(u_1, \dots, u_n)$$

- Since  $t_i \simeq u_i$  for  $1 \le i \le n$ , we have  $t_i \equiv u_i \in \Gamma_{\text{ext}}$  for  $1 \le i \le n$
- We also know that  $P(t_1, ..., t_n) \in \Gamma_{\text{ext}}$ , so the claim follows.
- **Exercise**: How do we get *containment*? MP on these just gives us derivability, right?

#### **Defining** $\iota$ : Symbols in $\mathcal{F}$ and $\mathscr{C}$

• Let  $f \in \mathcal{F}$  be an n-ary function symbol. Define  $\iota(f) = f_{\mathcal{M}}$  as follows:  $f_{\mathcal{M}}([t_1], ..., [t_n]) := [f(t_1, ..., t_n)].$ 

• Claim: f m is well-defined

#### **Defining** :: Symbols in $\mathcal F$ and $\mathscr C$

- Let  $f \in \mathcal{F}$  be an n-ary function symbol. Define  $\iota(f) = f_{\mathcal{M}}$  as follows:  $f_{\mathcal{M}}([t_1], ..., [t_n]) := [f(t_1, ..., t_n)].$
- **Claim**: f<sub>M</sub> is well-defined
- If  $t_i \simeq u_i$  for  $1 \leqslant i \leqslant n$ , then  $f(t_1, ..., t_n) \simeq f(u_1, ..., u_n)$ .
- If  $t_i \simeq u_i$  for  $1 \leqslant i \leqslant n$ , then  $t_i \equiv u_i \in \Gamma_{\text{ext}}$  for  $1 \leqslant i \leqslant n$ .
- By the fourth equality postulate,  $\vdash_{HK} f(t_1, ..., t_n) \equiv f(u_1, ..., u_n)$ .
- So  $f(t_1, ..., t_n) \equiv f(u_1, ..., u_n) \in \Gamma_{\text{ext}}$ , and so  $f(t_1, ..., t_n) \simeq f(u_1, ..., u_n)$ .
- Let  $c \in \mathcal{C}$  be a constant symbol. Define  $\iota(c) = c_{\mathcal{M}} := [c]$
- $\mathcal{M} = (M, \iota)$  is the structure we will use to define our model.
- For  $x \in \mathcal{V}$ , define  $\sigma(x) \coloneqq [x]$ .  $\mathcal{F} = (\mathcal{M}, \sigma)$  is our candidate model.

#### The model $\mathcal{F}$

- Want to show  $\mathcal{F} \models \varphi$  iff  $\varphi \in \Gamma_{\text{ext}}$  for any  $\varphi \in \mathsf{FO}_{\Sigma}$ .
- Proof by induction on the structure of  $\varphi$ .
- Base case:  $\mathcal{F} \models \varphi$  iff  $\varphi \in \Gamma_{\text{ext}}$  for any atomic formula  $\varphi$  (**Exercise!**)
- We omit the (straightforward) cases when  $\varphi = \neg \psi$  and  $\varphi = \psi \supset \xi$
- Consider the case when  $\varphi = \forall x$ .  $[\psi]$ .
  - $\forall x$ .  $[\psi] \in \Gamma_{\text{ext}}$  iff (from the useful properties of  $\Gamma_{\text{ext}}$ ),
  - $\psi\{t/x\} \in \Gamma_{\text{ext}}$  for every  $t \in T(\Sigma)$  iff (by IH),
  - $(\mathcal{M}, \sigma) \models \psi\{t/x\}$  for every t iff (by Substitution Lemma),
  - $(\mathcal{M}, \sigma[x \mapsto [t]]) \models \psi\{t/x\}$  for every t iff (by the semantics of  $\forall$ )
  - $\mathcal{F} \models \forall x. [\psi]$
- Thus, every consistent Γ (can be extended to an ∃-fulfilled MCS Γ<sub>ext</sub> which) is satisfiable.